## **EMFCSC**

## **International School of Subnuclear Physics**

#### **GRAVITY AND MATTER IN THE SUBNUCLEAR WORLD**

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# Are Neutrinos and Antineutrinos the same particle?

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## **Summary**

- Majorana vs Dirac spin 1/2 particles
- Two-neutrinos and neutrino-less double beta decay
- Backgrounds and energy resolution
- Examples of leading edge experiments
- Limits on effective Majorana mass
- Hints from atomic and supramolecular physics
- Conclusions

### 1937 *Majorana antiv=v?*



#### TEORIA SIMMETRICA DELL'ELETTRONE E DEL POSITRONE

Nota di Ettore Majorana

The new approach allows to "not only to give a symmetric form to the electron-positron theory, but also to build a substantially novel theory for the particles deprived of electric charge (... hypothetical neutrinos)"

.....it is probably "not yet possible to ask to the experience to decide between this new theory and the simple extension of the Dirac equation to the neutral particles"

#### **Definitions**

 $v_e$  is the neutral particle produced with an  $e^+$  (e.g.  $\beta^+$  decay) "anti"  $v_e$  is the neutral particle produced with an  $e^-$  (e.g.  $\beta^-$  decay) Same for  $v_{\mu}$  and  $v_{\tau}$  and their "anti" particles



Majorana: The advantage of this procedure....is that there is now no reason to assume the existence of ... antineutrinos.

#### Matter or antimatter?

Photon is a completely neutral particle, no charge-type quantum number The antiparticle of the photon is the photon. Just invert helicity



Neutrino and antineutrino in the SM are assumed to be different particles, distinguished by the lepton number. No experimental evidence

If lepton number is not a good quantum number neutrino and antineutrino may be two states of the same particle, distinguished by helicity (negative vs positive)



If neutrino and antineutrino are two states of the same particle, the matter-antimatter asymmetry in the Universe can find an explanation

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#### Majorana vs Dirac equation



Dirac

$$\psi = \begin{pmatrix} \varphi \\ \chi \end{pmatrix}$$
$$(i\partial_t - i\vec{\sigma} \cdot \vec{\nabla})\varphi = m\chi$$
$$(i\partial_t + i\vec{\sigma} \cdot \vec{\nabla})\chi = m\varphi$$

Majorana

$$\psi_{M} = \begin{pmatrix} \varphi \\ i\sigma_{2}\varphi^{*} \end{pmatrix} \qquad \begin{array}{l} \text{If m=0,} \\ \text{Dirac} = \\ (i\partial_{t} - i\vec{\sigma} \cdot \vec{\nabla})\varphi = im\sigma_{2}\varphi^{*} \end{array} \qquad \begin{array}{l} \text{Majorana} \end{array}$$

$$\psi_M^C = C\gamma^0 \psi_M^* = \begin{pmatrix} 0 & -i\sigma_2 \\ i\sigma_2 & 0 \end{pmatrix} \begin{pmatrix} \varphi \\ i\sigma_2 \varphi^* \end{pmatrix}^* = \begin{pmatrix} \varphi \\ i\sigma_2 \varphi^* \end{pmatrix} = \psi_M$$

Majorana particles are completely neutral spin 1/2 particles (like  $\gamma$ , Z, etc. for bosons)

### Is neutrino completely neutral?

V–A only left-handed field ( $\gamma_5 = -1$ )



#### **Double beta active isotopes**



Two neutrino double beta decay 2<sup>nd</sup> order weak interaction In nuclides stable against β decay

Nucleon level

 $n+n \rightarrow 2e^- + 2p + \overline{2\nu}_e$ 

Nuclear level

$$(A, Z) \to (A, Z + 2) + 2e^{-} + 2p + \bar{\nu}_e$$

Several have been measured



Isotope	$T^{2\nu}_{1/2}(10^{20} \text{ yr})$	
<sup>76</sup> Ge	$19.3 \pm 0.9$	
<sup>82</sup> Se	$0.94 \pm 0.06$	
$^{100}\mathrm{Mo}$	$0.07 \pm 0.002$	
<sup>130</sup> Te	8.2±0.7	
<sup>136</sup> Xe	$21.7 \pm 0.7$	

## *2β0ν* **Decay**

Majorana neutrino couples to W as Dirac neutrino The SM violation is in the propagator

At one vertex the (h=+) component matters, the (h=-) component at the other vertex



 $M_{ee} = \left[ U_{e1}^2 m_1 + U_{e2}^2 m_2 + U_{e3}^2 m_3 \right] \sim \left[ 0.67 m_1 + 0.30 m_2 e^{2i(\eta_1 - \eta_2)} + 0.03 m_3 e^{2i(\eta_1 + \delta_{CP})} \right]$ 

 $\frac{1}{T_{1/2}} = G(Q,Z)|NME|^2 M_{ee}^2$ 

#### Sensitivity to $M_{ee}$ scales as the (sensitivity to $T_{1/2}$ )<sup>1/2</sup>

Phase-space factor, PSF, G(Q,Z) calculated taking into account that electrons are in an atom Nuclear matrix element calculation quite uncertain (factor 2-3)

#### The sum-energy electrons spectrum



#### GERDA 2020 example

On the left  $2\nu 2\beta$  continuous spectrum. Potential background for  $0\nu 0\beta$ ; need energy resolution Signal: a line at  $Q_{\beta\beta}$ , which is **exactly known**; width = energy resolution  $\Delta E$  (FWHM) Backgrounds from radioactive sources reduced, by experimental cuts (see later) **Background index:** b = counts per unit energy interval, unit sensitive mass, unit live time: counts/(keV kg yr)

**Figure of merit**:  $b\Delta E$  (counts/kg y) = total background in the region of interest (roi)

**0-bkgrnd**:  $b\Delta E < (0.5-1)$ . Sensitivity to  $T_{1/2}$  grows **linearly** with exposure  $M\Delta t$  **non-0 bkgrnd**. Sensitivity to  $T_{1/2}$  grows with **sqrt** of exposure  $(M\Delta t)^{1/2}$ 

## Isotope separation

With the exception of <sup>130</sup>Te, all nuclides require isotopic enrichment ECP. Joint Stock Company. Production Association Electrochemical Plan", Zelenogorsk, Oblast' of Oremburg (near Kransnoyarsk), Siberia, Russia; <u>http://www.ecp.ru/index en.shtml</u>



Gas centrifuge processing: large number of centrifuges in series and parallel formations.

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## Large, background-free exposures

 $0\nu2\beta$  signal might be at  $T_{1/2} = 10^{27} - 10^{28}$  yr. Large exposure needed For a few counts of  $0\nu2\beta$  we need

- 10<sup>26</sup> yr: 100 kg yr now
- 10<sup>27</sup> yr: 1 t yr 2027
- 10<sup>28</sup> yr: 10 t yr 2037

If the signal is a few counts the background needs to be near to 0 (< 1 count) An order of magnitude larger sensitive mass needs an order of magnitude lower background

## The low background frontier

We live in a very radioactive world human body: 6 10<sup>12</sup>/(t yr)

#### Backgrounds in a FWHM per isotope ton per year.

Experiment	$b\Delta E (t^{-1}yr^{-1})$	
GERDA ( <sup>76</sup> Ge)	1.7	
EXO-200 ( <sup>136</sup> Xe)	139	
KamLAND-ZEN ( <sup>136</sup> Xe)	49	
NEXT-100 ( <sup>136</sup> Xe)	8 expected	
CUORE ( <sup>130</sup> Te)	418	

## **Backgrounds**

1. Cosmic muons

Almost exponentially decreasing with depth An order of magnitude for about 650 m Short delay effects (e.g.spallation) Detect muon and anticoincide Long delay effects (unstable nuclides, delayed decays)

Difficult to cut out if in the detector or in the shield

2. Neutrons from fission & (α,n) from U/Th in the rocks/environment Depending on local geology, depth independent Can be shielded

3. Gamma ambient flux (including radon & progeny) Depending on local geology, depth independent Can be shielded (but not Rn emanated inside detector)

4. Detector materials, supports, shielding, electrical connections, etc. Use super clean components Complete components assay Avoid surfaces, especially in vacuum, identify surface events A. Bettini Padova University and INFN

## Shielding example - GERDA



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#### Ge – diode

Technique well devloped in gamma spectroscopy nuclear physics Inverse polarisation, free chrages produced by ionosing radiation are collected and apmplified Need criogenic temperatures



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## GERDA. GE Detectors Array Background sources and suppression

Strings of Ge diodes immersed in liquid Ar, acting as a cooler an a passive shield The cryostat is immersed in ultrapure water tank sheldung from environmental radiation

Background model supported by data Close sources (supports, cables, electronics, L Ar contaminants) <sup>214</sup>Bi, <sup>228</sup>Th, <sup>60</sup>Co in the detector assembly <sup>42</sup>K from <sup>42</sup>Ar in L Ar bath Residual <sup>222</sup>Rn dissolved in L Ar Surface α particles

Suppress by Anticoincidence between crystals No light in the Ar (optical fibres) Pulse shape analysis



## **GERDA.** A background free experiment



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## LEGEND

#### Large Enriched Germanium Experiment for Neutrinoless ββ Decay (LEGEND). Members

of GERDA, MAJORANA and others

Keep "background free" conditions while increasing Ge mass

**200 kg. Starting** (Infrastructures of GERDA) <sup>76</sup>Ge (88% enr.) Reduce background by 2.5  $b \Delta E = 0.7/(FWHM t y)$ 10<sup>30</sup> Electroformed copper (MD) 10<sup>29</sup> Increase light collection in L Ar MD type F/E electronics Larger crystals ICPC (2 kg)  $T_{1/2}$ >10<sup>27</sup> yr, Exposure 1 t yr IO m<sup>min</sup><sub>ee</sub> range 10<sup>26</sup> 1000 kg 000 EGEND-200 Background free  $BI < 10^{-5}/(keV kg y)$ .1 counts/FWHM-t-y 10<sup>25</sup> 1.0 count/FWHM-t-y  $b \Delta E = 0.025/(FWHM t y)$ ΰ 10 counts/FWHM-t-y New infrastructure  $10^{24}$ 10<sup>-2</sup>  $10^{-3}$ 10<sup>-1</sup>  $10^{2}$ 10 Suppress <sup>42</sup>K from <sup>42</sup>Ar: depleted Ar

#### arXiv:1810.00849

Exposure [ton-years]

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 $T_{1/2} > 10^{28} \text{ yr}$ 

Exposure 10 t yr

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 $10^{3}$ 

## LEGEND 1000 Background model

Background index at  $Q_{\beta\beta}$  after all cuts



#### **LEGEND 1000 -** $0v2\beta$ at $10^{28}$ yt

Simulated LEGEND-1000 spectrum after 10 yr exposure with  $BI = 10^{-5}$  counts/(keV kg yr)



#### Flat residual background No background peaks expected near $Q_{\beta\beta}$



## KAMLAND-ZEN

1994. R. Raghavan (1937-2011) proposal to load large masses of <sup>136</sup>Xe into a large-scale liquid scintillator detector PRL **72** 1411

Inner balloon contains <sup>136</sup>Xe doped LS IB surface is source of background, reduced by geometrical cuts (**self shielding**)

Data collection 5.2.2018-8.5.2021<sup>136</sup>Xe mass = 750 kg Ultra clean IB



### **KAMLAND-ZEN-800**



## **NEXT.** Principle





- High Pressure (10-15 Bar) gas Xe TPC
- Electro-Luminescence R/O  $\Rightarrow \Delta E (FWHM)/E < 1\%$
- Topological signature (30% efficiency)
- Strong potential for Ba tagging in situ  $(^{136}Xe \rightarrow ^{136}Ba^{++} + 2 e^{-})$





**NEXT-100.** Construction in thesame infrastructure To run 2022-2026  $b \Delta E = 8 / (FWHM t yr)$ Sensitivity  $T_{1/2} \sim 10^{26}$  yr

## **NEXT.** The phases

**NEXT-White**. **10 kg** <sup>136</sup>Xe:confirm design principles 2018-2021 at LSC. runs with enriched and depleted Xe Energy resolution FWHM  $\Delta E = 20 \text{ keV} (0.8\%) (\text{good})$  $b = 4 \times 10^{-4}$  counts/(keVkg yr) JHEP 10 (2019) 052





**NEXT-1t. 1000 kg** TPC at 15 bar Central cathode plane and two R/O planes In water tank shield Sensitivity with 5 t yr  $T_{1/2}$  > 10<sup>27</sup> yr arXiv:2005.06467 y and INFN

## Beyond 10<sup>27</sup>. Look at the daughter



*There's plenty of room at the bottom* Richard Feynman, 1960 (Nobel 1965)

*There's even more room at the top* Jean-Marie Lehn, 1995 (Nobel 1987)

"Beyond molecular chemistry based on the covalent bond, there lies the field of **supramolecular** chemistry, whose goal it is to gain control over the intermolecular bond

> Build a supramolecular structure able to **recognise** the Ba<sup>++</sup> daughter of the <sup>136</sup>Xe, **fix** it, **change shape** to emitt a **different light**



#### **NEXT-BOLD.** Barium Observation Light Detector

Expolit single molecule fluorescent imaging (SMFI) to visualize each single Ba ion as it reaches the TPC cathode

In <sup>136</sup>Xe gas phase (differently from in liquid phase) ~100% Ba<sup>++</sup> remain ionised Develope a supramolecular structure that change fluorescence light after chelating Ba dications **recognise** Ba<sup>++</sup> and **fix** (chelation) it (crown ether + flurophore + linker}



For efficient detection, chelation should not simply induce a change of intensity of fluorescence light (upon laser illumination)

Adding a unit to rotate the Ba<sup>++</sup> receptor, a change of the fluorescence light wavelength is

https://www.nature.com/articles/s41586-019-1169-4

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## **Fluorescence Bicolour Indicators**

The FBI mantains its fluorescent properties in solid phase (silicagel) Calculated discriminaton factor FBI·Ba<sup>++</sup> vs FBI =  $(2.5 \pm 0.6) \times 10^4$ 

Barium tagging in NEXT: A ML of Fluorescent Bicolor Indicators (FBI) traps the barium ion, thereby changing its optical properties.



First experiment of chelation in a dry medium: Ba(ClO<sub>4</sub>)<sub>2</sub> was evaporated in UHV on silica pellets containing FBI. This changed its fluorescence from green to blue and proved that the trapping took place in UHV.



From J J Gomez Cadenas

#### **CUORE**

<sup>130</sup>Te in an array of 988 TeO<sub>2</sub> natural Te bolometers operating at about 10 mK at LNGS Total mass =741 kg (contributing to background). <sup>130</sup>Te mass about 206 kg (source of signal)



2017-2021. 1038.8 kg(TeO2) yr = **288.8 kg(<sup>130</sup>Te) yr** En. Res. FWHM =  $7.8 \pm 0.5$  keV (very good) **b**  $\Delta E$  = **418 /(FWHM t<sub>Te130</sub> yr)**  Median sensitivity (90%): 2.8 × 10<sup>25</sup> yr Limit:  $T_{1/2}$ >2.2 × 10<sup>25</sup> yr  $M_{ee}$  <90-305 meV Will continue to 1000 kg yr <sup>130</sup>Te exposure

Azzolini et al Nature 604, 53 (2022)

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## <sup>100</sup>Mo CUPID

Will use the CUORE cryostat, after completion of the experiment

Source: Li<sub>2</sub><sup>100</sup>MoO<sub>4</sub> scintillating crystals

Read out **HEAT and LIGHT**: identify and reject surface  $\alpha$  background



Prototype experiments (CUPID-0, CUPID-Mo,...), R&D programme aiming at improve *b* by 1/100 and  $\Delta E$  by 1/2 relative to CUORE  $b \Delta E = 0.1/(\text{keV } t_{100MoO4} \text{ yr}) \times 4 \text{ keV }/(\text{FWHM } t \text{ yr})$ Background free exposure of 2000 kg(<sup>100</sup>MoO<sub>4</sub>) yr (1120 kg(<sup>100</sup>Mo) to reach 10<sup>27</sup> yr sensitivity A. Bettini Padova University and INFN arXiv:1907.09376v1 30

#### The stage

From cosmology Σ $m_i$ <150-200 meV model dependent From 0v2β limits on  $M_{ee}$ <sup>136</sup>Xe: <36-156 meV <sup>76</sup>Ge: <79-180 meV <sup>130</sup>Te: <90-305 meV



IO and NO bands correspond to Majorana phases  $0-2\pi$ Dots from A. Ianni assuming random distribution of the phases Combined 90% limit is from Lisi & Marrone arXiv:2204.0956 Depending on NME  $g_A=1.276$ 

$$M_{ee} < 35.4 - 117.7$$







### Look at the bottom

There's plenty of room at the bottom

of the energy scale

CNB: the cosmic sea of primordial neutrinos around us: 336/cm<sup>3</sup>

$$T_{\nu} = (4/11)^{1/3} T_{\gamma} = 0.256 \text{ meV} = 1.95 \text{ K}$$

When neutrinos are non-relativistic the distinction between Dirac and Majorana becomes relevant CNB, a laboratory to study neutrinos in unique kinematic regime

#### **Dreaming on Cosmic Neutrino Background**

- In the hot and dense primordial universe neutrinos are in thermic equilibrium in the plasma
- Having been produced by weak interactions, they were **flavour eigenstates** (6 in total) and **chirality eigenstates** with  $\gamma_5 = -1$
- Flavour states soon decohere into the mass eigenstates, which populate the present CNB
- Neutrinos had almost only helicity =-1, antineutrinos =+1
- When the temperature dropped below  $\sim 1$ MeV neutrinos "froze out" from the plasma (CNB), **freely streaming** to us.
- Chirality does not commute with the free Hamiltonian, it was not conserved
- Helicity was conserved, being the particles non-interacting

#### S Weinberg – Look at the end-point



Kurie function  $K = \left[\frac{N(p)}{p^2 F(Z, p)}\right]^{1/2}$  $W_{\bullet} = E_{F} = W_{\bullet} = E_{\bullet}$ 

Electron neutrino mass KATRIN experiment

1962 S. Weinberg (1933 – 2021) proposes CNB detection via inverse beta decay, capture by a beta decaying nucleus, since this is a **zero-threshold process**g. Phys Rev. **128** (1962) 1457

$$\nu_e + {}^3H \rightarrow e^- + {}^4He$$



#### **Detecting CNB**

$$v_e + {}^3H \rightarrow e^- + {}^4He$$

Consider one neutrino only A peak at twice the neutrino mass above the end-point of the betadecay spectrum should be observed



Capture rate is **twice** as large for Majorana than for Dirac neutrinos

CNB contains negative helicity neutrinos and positive helicity antineutrinos. Being non-relativistic, both helicity states contain a negative chirality component, hence both can interact.

**Dirac.** The positive helicity antineutrino cannot be captured because  $\overline{v_e} + p \rightarrow e^+ + n$  is energetically forbidden

**Majorana**. "Neutrino" and "antineutrino" are the same particle. Both helicity Majorana neutrinos interact weakly and can be captured

Long, Lunardini, Sabancilar JCAP08 (2014) 038

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#### **Challenges**

Event rate. <sup>3</sup>H beta decay: about  $10^{15}$  Bq/g. Target mass >100 g; presently impossible

Background from beta decay Energy resolution should be beller than neutrino mass  $\Delta E < m_i$ 



M. J. Betti et al. JCAP 07 (2017) 047 arXiv:1902.05508v1

## **PTOLEMY @ LNGS**

Be brave, dream the impossible The PTOLEMY project Prototypes forest being built



There's even more room at the top

**Target = supramolecular link tritium atoms on graphene monolayr** 



• Monolayer graphene consists of carbon atoms arranged in a two-dimensional honeycomb lattice;

• Graphene substrates are suitable to hold monoatomic Tritium layer through chemical absorption;

From Andrea Giachero

## Low Energy Frontier RENP

Neutrino mass scale : few  $10^{-2}$  eV

Atomic levels: few eV  $\Rightarrow$  *E/m* order of 10<sup>-2</sup>. Option for neutrino mass spectroscopy

Molecular electronic levels: few 0.1 eV  $\Rightarrow$  *E/m* order of 10<sup>-1</sup>. Majorana phases via interference?

Research programme at Okayama led by Yoshimura since some 20 years

#### **RENP = Radiative Emission of Neutrino Pairs**

QED forbidden by Parity



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## Rate amplification by macroscopic coherence

Dicke super-radiance (Phys Rev 93 (1954) 99-110): coherent volume is proportional to  $L \lambda^2$ Coherent de-excitation develops from stimulated decays along pulse propagation direction

Rate 
$$\propto \left|\sum_{j}^{N} e^{i\vec{k}\cdot\vec{r}_{j}} \times M_{(e\to g)}\right|^{2} \propto N^{2}$$
 (for  $|r_{i}-r_{j}| \leq \lambda$ ) Observed: Skibanowitz et al, PRL **30** (1973) 309

For plural outgoing/ingoing particles phase matching condition is obtained through momentum conservation

energy

Coherent volume NOT limited by  $\lambda$ , can be macroscopic

Rate 
$$\propto \left|\sum_{j}^{N} e^{i\left(\vec{k}_{1}+\vec{k}_{2}+\vec{k}_{3}\right)\cdot\vec{r}_{j}} \times M_{(e\rightarrow g)}\right|^{2} \propto N^{2}$$
 (for  $\vec{k}_{1}+\vec{k}_{2}+\vec{k}_{3}=0$ )

Employ 3 (or more) LASERs: 2 in opposite directions for excitation 1 to trigger the decay

Typical trigger bandwidth  $\Delta E = 10^{-6} \text{ eV}$ 

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momentum

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#### **Conclusions**

- The search for  $0\nu 2\beta$  decay is still the main route to Majorana particles
- Present sensitivity in  $T_{1/2}$  above  $10^{26}$  yr ( $M_{ee}$  around 100 meV)
- 10<sup>27</sup> yr (30 meV) in reach with <sup>76</sup>Ge, <sup>136</sup>Xe and <sup>100</sup>Mo in the current decennium (discovery possible)
- 10<sup>28</sup> yr (10 meV) will need substantial further reduction of the background (discovery very likely)
- Additional research routes on the low energy frontier are being opened
  - Need deep understanding and development in atomic, molecular and supramolecular physics

# Thanks for your attention



### Any favourable isotope?

Isotope	$Q_{\beta\beta}(\text{keV})$	Abundance (%)	$G (10^{-15} \mathrm{y}^{-1})$
<sup>76</sup> Ge	2039.061(07)	7.8	2.36
<sup>100</sup> Mo	3034.40(17)	9.6	15.91
<sup>130</sup> Te	2527.5218(13)	33.8	14.20
<sup>136</sup> Xe	2457.83(37)	8.9	14.56

PSF grows almost as  $Q^5$ . Partially compensated by a decrease of NME with increasing A



No significantly favoured isotope Within a factor 3 Choice of the isotpe depends on **practical factors** 

PSF from F.F. Dappish et al. 2009.10119